Key Fact document – Margin Trading

Product Name	Key Features,	Interest Rates,	Procedure to be	Main Terms &	Applicable legal	Complaint Handling
	Benefits and nature	Penalties & Other	followed to	Conditions	provisions	Procedure
	of the product	Charges/fees	obtain the		related to the	
			product		product	
Margin	Funds are provided	Rates as per tariff	Initial discussion	The applicant	Securities and	Customers can place
Trading	for share trading	sheet decided by	with the	should be Sri	Exchange	a complaint by
		ALCO /	Customer on	Lankan within the	Commission Act	following steps;
	Shares constitute	Investment	request of a	legally acceptable	No. 19 of 2021	
	security	Committee.	facility.	age limit to		Visit the MBSL Head
				obtain a Margin		office and make a
	Investors using	Exception (if any)	All required	facility.		written complaint to
	Margin Trading are	as per tariff-sheet	documents to be			the AGM Marketing
	able to purchase	decided by ALCO,	submitted by the	Applicant can be		& Product
	more shares by	approved by CEO	Customer along	a salaried/self-		Development which
	leveraging their		with his/her	employed,		will be directed to
	existing portfolio	All the charges,	share portfolio	Corporate or		Margin Trading
		the interest rate	pledged with CSE	Retired Individual		Manager.
		at the time of	registered stock			
		granting the	brokers	Client/s profile		If a solution is not
		facility and		should be in line		given; Write to:
		penalties other	Accountant	and meet the		Chief Executive
		are mentioned in	statement from	requirements as		Officer, Merchant
		the Offer Letter	the Stock Broker	per the company		Bank of Sri Lanka &
		issued to the		lending criteria.		Finance PLC 28, St.
		customer	Evaluation from			Michael's Road,
			Appraisal officer	All facility		Colombo 03
		(Interest Rate		requests		
		may change from	The approval	are subject to a		Call On: 011
		time to time	process will take	credit evaluation		4711701
		based on market	up to 4 working	and all facility		
		rates)	days	approvals will be		E-mail On:
				at the sole		info@mbslbank.com

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LKR 1,000 will be	The signing of	discretion of the	Required
charged for any	Margin Trading	company.	Information for
additional	Agreement, Offer		placing a complaint;
statements other	Letter, and Share	In the event of	- Name
than the usual	Transfer Forms	Non- payment as	- Slash account no.
monthly		per the	- Mobile number
statements	Open the slash	agreement, the	- Email address (if
	account	customer is liable	any)
		to pay all interest	
	Obtain Securities	charged on	
	& Exchange	behalf of the	
	Commission	same to the	
	approval to	company	
	transfer shares to		
	the Slash account		
	Transfer shares		
	to the slash		
	account		
	Settlement of		
	total outstanding		
	to the Stock		
	Broker (If any)		

[&]quot;Suspicious transactions and above-the-threshold transactions (above 1 million) will be reported to the Financial Intelligence Unit"